

Enforcement Actions

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14 October 2004

SFC Prosecutes Wong Man Hung Patrick for Contravening the Securities (Disclosure of Interests) Ordinance

The SFC has successfully prosecuted Mr Wong Man Hung Patrick, a former director of Radford Capital Investment Ltd, under section 28 of the Securities (Disclosure of Interests) Ordinance.

Wong today pleaded guilty to four summonses relating to his failure to report to Hong Kong Exchanges and Clearing Limited (HKEx) and to Radford within five days, both of his holding of 1,900,000 shares in Radford upon his appointment as a director on 16 October 2002, and his subsequent sale of 1,500,000 Radford shares on 31 October 2002. Wong did not notify HKEx or Radford until 22 August 2003.

Mr Ian Candy, a Magistrate at Eastern Magistracy, fined Wong \$10,000 and ordered him to pay investigation costs of \$5,000 to the SFC.

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