
Enforcement News

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27 April 2006

SFC Prosecutes Law Chun Pon for Making Unsolicited Calls

The SFC has successfully prosecuted Mr Law Chun Pon for making unsolicited calls.

An SFC investigation found that in or about the end of April 2004, Law, a representative of Delta Asia Credit Limited (Note 1), had made unsolicited telephone calls to six persons who were not clients of Delta Asia with a view to inducing them to enter into an agreement for trading in leveraged foreign exchange trading contracts, in contravention of section 174 of the Securities and Futures Ordinance.

Law today pleaded guilty to six summonses before Mr Leung Wing Chung Winston, a Magistrate at Eastern Magistracy. Law was fined \$18,000 and ordered to pay investigation costs of \$22,732 to the SFC.

Ends

Note:

1. Law was a relevant individual engaged by Delta Asia Credit Limited at the time. Delta Asia Credit is an authorised financial institution under the supervision of the Hong Kong Monetary Authority and also registered with the SFC as a registered institution.

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