

Enforcement News

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14 June 2006

SFC Prosecutes Law Wing Sang for Making Unsolicited Calls

The SFC has successfully prosecuted Mr Law Wing Sang for making unsolicited calls.

An SFC investigation found that in August 2004, Law, a licensed representative of Hantec International Limited during or as a consequence of unsolicited calls, had offered to make an agreement with a person with a view to inducing the person to sell or purchase leveraged foreign exchange contracts at Hantec, contrary to section 174 of the Securities and Futures Ordinance.

Law today pleaded guilty to one summons before Mr Leung Wing Chung Winston, a Magistrate at Eastern Magistracy. Law was fined \$3,000 and ordered to pay investigation costs of \$3,545 to the SFC.

Ends

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