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SFC Prohibits Tong Chi Ping from Re-entering the Industry

5 Feb 2007

The SFC has prohibited Mr Tong Chi Ping from re-entering the industry for four months from 2 February to 1 June 2007 for making a false declaration in the account opening documents (Note 1).

The disciplinary action follows an SFC investigation which found that in August 2004, Tong, a licensed representative of Hantec International Limited at the time, declared in a new client's account opening documents that the client came to know Hantec through the internet and telephoned Hantec to open an account, despite knowing that such information was incorrect.

Ends

Notes:

1. Tong was formerly licensed under the Securities and Futures Ordinance to carry on Type 3 (leveraged foreign exchange trading) regulated activity. He is currently not a licensed person.

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