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DBA Telecommunication (Asia) Holdings Limited convicted of making false or misleading announcement

28 Jun 2018

The Eastern Magistrates' Court today convicted DBA Telecommunication (Asia) Holdings Limited (DBA) after it pleaded guilty to making a false or misleading statement in a prosecution brought by the Securities and Futures Commission (SFC) (Notes 1 & 2).

DBA was fined \$20,000 and ordered to pay the SFC's investigation costs.

On 28 March 2013, DBA published results announcement for the year ended 31 December 2012 on the Stock Exchange of Hong Kong Limited's (SEHK) website.

The SFC alleges that the financial statements had not been agreed by the auditors as required under the Rules Governing the Listing of Securities on the SEHK (the Listing Rules). DBA's statement in the results announcement that the financial statements had complied with the applicable disclosure provisions of the Listing Rules was therefore false and misleading in a material particular.

The SFC also prosecuted DBA's former director Mr Chan Wai Chuen for making a false or misleading statement for his alleged involvement in the offence. The case was adjourned until 9 August 2018 when plea will be taken.

End

Notes:

1. DBA is listed on the Main Board of the Stock Exchange of Hong Kong Limited.
2. Under section 384 of the Securities and Futures Ordinance Cap 571, it is a criminal offence to provide false or misleading information to the Stock Exchange of Hong Kong Limited.

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DBA電訊（亞洲）控股有限公司被裁定作出虛假或具誤導性的公告

2018年6月28日

證券及期貨事務監察委員會（證監會）對DBA電訊（亞洲）控股有限公司（DBA）提出檢控，指其曾作出虛假或具誤導性陳述，DBA承認有關控罪，今天被東區裁判法院裁定罪名成立（註1及2）。

DBA被判處罰款20,000元，及被命令繳付證監會的調查費。

2013年3月28日，DBA在香港聯合交易所有限公司（聯交所）網站上刊發截至2012年12月31日止年度的業績公告。

證監會指，有關的財務報表並未按照《聯交所證券上市規則》（《上市規則》）的規定獲得核數師同意。因此，DBA在業績公告內指該財務報表已符合《上市規則》的適用披露規定的聲明，在要項上屬虛假及具誤導性。

DBA前董事陳偉銓（男）因涉嫌曾參與有關罪行，亦被證監會以作出虛假或具誤導性聲明的罪名提出檢控。該案件被押後至2018年8月9日進行答辯。

完

備註：

1. DBA現時在香港聯合交易所有限公司主板上市。
2. 根據《證券及期貨條例》（第571章）第384條，向香港聯合交易所有限公司提供虛假或具誤導性的資料屬刑事罪行。

最後更新日期：2018年6月28日