

## SFC Suspends Lai Sze Man Dora

10 Jun 1999

The Securities and Futures Commission (SFC) announced today that it had suspended the registration of Ms Lai Sze Man Dora (Lai), a dealer's representative of Get Nice Investment Limited (Get Nice), for one month under the Securities Ordinance.

The suspension stems from an inquiry in which the SFC found that from 1991 to 1995, Ms Lai had on several occasions improperly signed as a witness to prospective clients' signature on account opening documents when she had in fact not been present when the prospective clients had signed the documents. Further, it was found that Ms Lai knowingly allowed an unauthorized person to operate a client's account.

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